



#5 2852

Attorney Docket No. CSBK-020CP3

55814-028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allan N. Weiss  
Serial No. 10/087,339  
Filing Date: March 1, 2002  
Title: PROXY ASSET SYSTEM AND METHOD  
Art Unit 2852

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Date: 12-4-02

Diane Valeta  
Diane Valeta

Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed more than three months from the filing date of the application, but before the receipt of any Official Action from the Patent and Trademark Office.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

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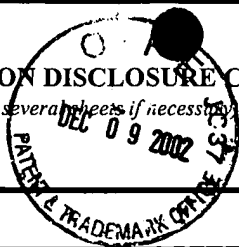
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**GROUP 3600**

Date: December 4, 2002  
BST99 1324741-1.065814.0028

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)  
**CSSK P3**

Application Number  
**10/087,339**

Applicant(s)  
**Weiss**

Filing Date  
**March 1, 2002**

Group Art Unit  
**2852**

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO95/06290	02MAR95	WO - Wells Fargo Nikko				

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Goodman, Jordan E., "Guide to Secure Investing," Dearborn Financial Publishing, Inc., 1994, pp. 115-122

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.